


NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: Meta Materials	Case Number: 24-50792	RECEIVED AND FILED DEC 16 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): Kaitlin Caulfield 224 Nahant Rd Nahant, MA 01908 Telephone Number: 781 929-6083	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: 4499-1836	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: Schwab 127 Congress St. Boston, MA Telephone Number: 617 877-519-1403	3. Date Equity Interest was acquired: Various dates starting in 2021	
4. Total amount of member interest: PRE-Split 342 POST Split 4	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: _____		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: Kaitlin Caulfield Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ <div style="text-align: right;">  (Signature) Telephone number: 781 929 6083 email: CAULFIELD.KAITLIN@gmail.com </div> <div style="text-align: right;"> 10/28/24 (Date) </div>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

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Schwab purchases
Kaitlin CAMFIELD

Transaction History

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Individual ...836

Date range

Symbol (Optional)

All

Q

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Transactions found from 10/28/2020 to 10/28/2024

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1 2 Next

03/18/2024 as of 03/15/2024	Bank Interest	BANK INT ...524 TD BANK NA	\$0.01
03/05/2024	Delivered	629999590 NEXT BRIDGE HYDROCARBONS	-2,775
02/22/2024	Service Fee	BRKDOWN FEE	-\$80.00
02/12/2024 as of 02/09/2024	MoneyLink Transfer	Tfr SALEM FIVE CENTS S, N/A	\$120.00
01/29/2024	Reverse Split	MMAT META MATLS INC	4
01/29/2024	Reverse Split	59134N104 META MATLS INC XXXREVERSE SPLIT EFF: 01/29/24	-342
11/06/2023	Journalized Shares	TDA TRAN - CASH MOVEMENT OF OUTGOING ACCOUNT TRANSFER	\$32.16
11/06/2023	Journalized Shares	MMAT TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT	-342

Post-split Shares

pre-split

(MMDA1)

11/06/2023	Joumaled Shares	ITP TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (ITP)	-50
11/06/2023	Joumaled Shares	FAMI TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (FAMI)	-1
11/06/2023	Joumaled Shares	6DA993019 TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (6DA993019)	-2,785
11/06/2023	Internal Transfer	ITP IT TECH PACKAGING INC	50
11/06/2023	Internal Transfer	FAMI FARMMI INC F	1
11/06/2023	Internal Transfer	MMAT META MATLS INC	342
11/06/2023	Internal Transfer	629999590 NEXT BRIDGE HYDROCARBONS	2,785
11/06/2023	Internal Transfer	TDA TO CS&CO TRANSFER	-\$32.16
09/26/2023	Cash In Lieu	TDA TRAN - CASH IN LIEU OF FRACTIONAL SHARES (FAMI)	\$1.10
09/26/2023	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL	-\$4.74
09/25/2023	Joumaled Shares	FAMI TDA TRAN - MANDATORY REVERSE SPLIT (FAMI)	1
09/25/2023	Joumaled Shares	G33277123 TDA TRAN - MANDATORY	-14

MANDATORY
REVERSE SPLIT
...77123)

09/25/2023	Joumaled Shares	TDA TRAN - MANDATORY REORGANIZATION FEE ...77123)	-	\$38.00
01/06/2023	Joumaled Shares	6DA993019 TDA TRAN - MANDATORY - EXCHANGE (6DA993019)	2,785	
01/06/2023	Joumaled Shares	6DA993019 TDA TRAN - MANDATORY - EXCHANGE (6DA993019)	-2,785	
12/30/2022	Joumaled Shares	6DA993019 TDA TRAN - MANDATORY - EXCHANGE (6DA993019)	2,785	
12/30/2022	Joumaled Shares	5CT999019 TDA TRAN - MANDATORY - EXCHANGE (5CT999019)	-2,785	
12/13/2022	Joumaled Shares	5CT999019 TDA TRAN - MANDATORY - EXCHANGE (5CT999019)	2,785	
12/13/2022	Joumaled Shares	ZZZZP TDA TRAN - MANDATORY - EXCHANGE (MMTLP)	-2,785	
10/31/2022	Joumaled Shares	MMDA1 TDA TRAN - CASH ALTERNATIVES INTEREST (MMDA1)	0.2	
10/17/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL	-	\$1,223.85
10/14/2022	Joumaled	TDA TRAN - CASH	-	

	Shares	ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				\$1,598.85
10/13/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...100 (MMTLP) @3.8400	100	\$3.84	\$6.95	-\$390.95
10/13/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought 50 (MMTLP) @4.6800	50	\$4.68	\$6.95	-\$240.95
10/13/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...100 (MMTLP) @5.8500	100	\$5.85	\$6.95	-\$591.95
10/13/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				- \$1,173.40
10/12/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...100 (MMTLP) @4.0000	100	\$4.00	\$6.95	-\$406.95
10/12/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...100 (MMTLP) @4.0200	100	\$4.02	\$6.95	-\$408.95
10/12/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...200 (MMTLP) @3.8800	200	\$3.88	\$6.95	-\$782.95
10/12/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				- \$1,076.95
10/11/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$3,000.00
10/11/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...150	150	\$3.73	\$6.95	-\$566.45

(MMTLP) @3.7300

10/11/2022	Buy Trade Details	ZZZP TDA TRAN - Bought ...150 (MMTLP) @4.0000	150	\$4.00	\$6.95	-\$606.95
10/11/2022	Wire Received Wire Details ⑥	TDA TRAN - WIRE INCOMING				\$3,000.00
10/11/2022	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$1,000.00
10/07/2022	Buy Trade Details	ZZZP TDA TRAN - Bought ...900 (MMTLP) @2.3000	900	\$2.30	\$6.95	- \$2,076.95
10/07/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$2,000.00
10/06/2022	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$1,000.00
10/06/2022	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$1,000.00
09/30/2022	Joumaled Shares	MMDA1 TDA TRAN - CASH ALTERNATIVES INTEREST (MMDA1)	0.01			
08/31/2022	Joumaled Shares	MMDA1 TDA TRAN - CASH ALTERNATIVES INTEREST (MMDA1)	0.01			

07/29/2022	Joumaled Shares	MMDA1 TDA TRAN - CASH ALTERNATIVES INTEREST (MMDA1)	0.01				
07/21/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$230.40	
07/19/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought 50 (MMTLP) @1.3700	50	\$1.37	\$6.95	-\$75.45	
07/19/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought ...100 (MMTLP) @1.4800	100	\$1.48	\$6.95	-\$154.95	
07/19/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$300.00	
07/18/2022	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$300.00	
07/11/2022	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$38.00	
07/08/2022	Joumaled Shares	ITP TDA TRAN - MANDATORY REVERSE SPLIT (ITP)	50				
07/08/2022	Joumaled Shares	46527C100 TDA TRAN - MANDATORY REVERSE SPLIT (46527C100)	-500				
07/08/2022	Joumaled	TDA TRAN -				-\$38.00	

Shares		MANDATORY REORGANIZATION FEE (46527C100)				
Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
	Shares	ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				
06/23/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought 40 (MMTLP) @1.6800	40	\$1.68	\$6.95	-\$74.15
06/01/2022	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$38.00
05/31/2022	Journaled Shares	FAMI TDA TRAN - MANDATORY REVERSE SPLIT (FAMI)	14			
05/31/2022	Journaled Shares	G33277107 TDA TRAN - MANDATORY REVERSE SPLIT ...77107)	-350			
05/31/2022	Journaled Shares	TDA TRAN - MANDATORY REORGANIZATION FEE ...77107)				-\$38.00
04/04/2022	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$63.70
03/31/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought 20 (MMTLP) @1.2400	20	\$1.24	\$6.95	-\$31.75
03/31/2022	Buy Trade Details	ZZZZP TDA TRAN - Bought 20 (MMTLP) @1.2500	20	\$1.25	\$6.95	-\$31.95
10/15/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES				-\$42.95

REDEMPTION
(MMDA1) BANK
SWEEP
WITHDRAWAL

10/13/2021	Buy Trade Details	ZZZZP TDA TRAN - Bought 20 (MMTLP) @1.8000	20	\$1.80	\$6.95	-\$42.95
10/13/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$264.76
10/12/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$300.00
07/21/2021	Cash In Lieu	TDA TRAN - CASH IN LIEU OF FRACTIONAL SHARES (MMAT)				\$1.70
07/08/2021	Joumaled Shares	ZZZZP TDA TRAN - NON- TAXABLE SPIN OFF/LIQUIDATION DISTRIBUTION (59134N203)	685			
06/29/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$1.06
06/28/2021	Joumaled Shares	MMAT TDA TRAN - MANDATORY REVERSE SPLIT (MMAT)	342			
06/28/2021	Joumaled Shares	TRCH TDA TRAN - MANDATORY REVERSE SPLIT (TRCH)	-685			
06/28/2021	Joumaled Shares	TDA TRAN - MANDATORY REORGANIZATION				-\$38.00

FEE (TRCH)

06/04/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$98.94
06/02/2021	Buy Trade Details	TRCH TDA TRAN - Bought 34 (TRCH) @2.9100	34	\$2.91		-\$98.94
06/02/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$133.61
06/01/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$100.00
06/01/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING DISBURSEMENT (FUNDS NOW)				-\$233.61
05/27/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$3.61
05/26/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$234.00
05/25/2021	Buy Trade Details	TRCH TDA TRAN - Bought ...102 (TRCH) @2.3000	102	\$2.30		-\$234.60
05/25/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED				\$234.00

REQUESTED
ELECTRONIC
FUNDING
RECEIPT (FUNDS
NOW)

05/25/2021	Sell Trade Details	TRCH TDA TRAN - Sold ...100 (TRCH) @2.3100	100	\$2.31	\$0.01	\$230.99
05/21/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$98.88
05/20/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$100.00
05/19/2021	Buy Trade Details	TRCH TDA TRAN - Bought 24 (TRCH) @2.0500	24	\$2.05		-\$49.20
05/19/2021	Buy Trade Details	TRCH TDA TRAN - Bought 24 (TRCH) @2.0700	24	\$2.07		-\$49.68
05/19/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)				\$100.00
05/12/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$13.26
05/10/2021	Buy Trade Details	TRCH TDA TRAN - Bought 6 (TRCH) @2.2099	6	\$2.2099		-\$13.26
05/04/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$10.10

05/03/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL					-\$2.31
04/30/2021	Sell Trade Details	834203200 TDA TRAN - Sold ...250 (SLNO) @1.2305	250	\$1.2305	\$0.03		\$307.60
04/30/2021	Buy Trade Details	TRCH TDA TRAN - Bought ...140 (TRCH) @2.1250	140	\$2.125			-\$297.50
04/29/2021	Buy Trade Details	TRCH TDA TRAN - Bought 75 (TRCH) @2.3500	75	\$2.35			-\$176.25
04/29/2021	Buy Trade Details	TRCH TDA TRAN - Bought 80 (TRCH) @2.3600	80	\$2.36			-\$188.80
04/29/2021	Buy Trade Details	TRCH TDA TRAN - Bought ...100 (TRCH) @2.3600	100	\$2.36			-\$236.00
04/29/2021	Sell Trade Details	007975402 TDA TRAN - Sold ...500 (AEZS) @1.2100	500	\$1.21	\$0.06		\$604.94
04/29/2021	Buy Trade Details	TRCH TDA TRAN - Bought 75 (TRCH) @2.3599	75	\$2.3599			-\$176.99
04/29/2021	Sell Trade Details	SDPI TDA TRAN - Sold ...190 (SDPI) @0.8990	190	\$0.899	\$0.02		\$170.79
03/24/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL					-\$74.80
03/22/2021	Buy Trade Details	46527C100 TDA TRAN - Bought ...100 (ITP) @0.7480	100	\$0.748			-\$74.80

03/22/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL					-\$56.25
03/18/2021	Buy Trade Details	TRCH TDA TRAN - Bought 25 (TRCH) @2.2500	25	\$2.25			-\$56.25
03/18/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL					-\$420.81
03/17/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT					\$543.14
03/16/2021	Buy Trade Details	834203200 TDA TRAN - Bought 50 (SLNO) @1.6562	50	\$1.6562			-\$82.81
03/16/2021	Buy Trade Details	834203200 TDA TRAN - Bought ...200 (SLNO) @1.6900	200	\$1.69			-\$338.00
03/15/2021	Buy Trade Details	G33277107 TDA TRAN - Bought ...100 (FAMI) @1.5300	100	\$1.53			-\$153.00
03/15/2021	Buy Trade Details	G33277107 TDA TRAN - Bought 50 (FAMI) @1.5400	50	\$1.54			-\$77.00
03/15/2021	Buy Trade Details	G33277107 TDA TRAN - Bought 50 (FAMI) @1.5500	50	\$1.55			-\$77.50
03/15/2021	Buy Trade Details	G33277107 TDA TRAN - Bought ...150 (FAMI) @1.5500	150	\$1.55			-\$232.50
03/15/2021	Sell Trade Details	007975402 TDA TRAN - Sold	400	\$1.58	\$0.05		\$631.95

...400 (AEZS) @1.5800						
03/15/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...400 (AEZS) @1.4799	400	\$1.4799		-\$591.96
03/15/2021	Sell Trade Details	007975402 TDA TRAN - Sold ...500 (AEZS) @1.5000	500	\$1.50	\$0.06	\$749.94
03/15/2021	Buy Trade Details	TRCH TDA TRAN - Bought 9 (TRCH) @2.3500	9	\$2.35		-\$21.15
03/15/2021	Buy Trade Details	TRCH TDA TRAN - Bought 10 (TRCH) @2.3600	10	\$2.36		-\$23.60
03/15/2021	Buy Trade Details	TRCH TDA TRAN - Bought 50 (TRCH) @2.3600	50	\$2.36		-\$118.00
03/15/2021	Sell Trade Details	007975402 TDA TRAN - Sold ...300 (AEZS) @1.5200	300	\$1.52	\$0.04	\$455.96
03/15/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$97.65
03/11/2021	Buy Trade Details	TRCH TDA TRAN - Bought 31 (TRCH) @3.1500	31	\$3.15		-\$97.65
03/08/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$92.99
03/04/2021	Buy Trade Details	SDPI TDA TRAN - Bought ...100 (SDPI) @0.9299	100	\$0.9299		-\$92.99
03/03/2021	Joumaled	TDA TRAN - CASH				\$111.89

Shares		ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT			
03/02/2021	MoneyLink Transfer	TDA TRAN - CLIENT REQUESTED ELECTRONIC FUNDING RECEIPT (FUNDS NOW)			\$200.00
03/01/2021	Buy Trade Details	SDPI TDA TRAN - Bought 90 (SDPI) @0.9790	90	\$0.979	-\$88.11
02/25/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL			-\$117.00
02/24/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL			-\$700.00
02/23/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.1700	100	\$1.17	-\$117.00
02/23/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL			-\$142.50
02/22/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...200 (AEZS) @1.3900	200	\$1.39	-\$278.00
02/22/2021	Buy Trade Details	46527C100 TDA TRAN - Bought ...400 (ITP) @1.0550	400	\$1.055	-\$422.00
02/22/2021	Journaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK			-\$644.51

**SWEEP
WITHDRAWAL**

02/19/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4250	100	\$1.425			-\$142.50
02/19/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL					-\$66.11
02/18/2021	Sell Trade Details	CTXR TDA TRAN - Sold 50 (CTXR) @1.5400	50	\$1.54	\$0.01		\$76.99
02/18/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4250	100	\$1.425			-\$142.50
02/18/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4400	100	\$1.44			-\$144.00
02/18/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4350	100	\$1.435			-\$143.50
02/18/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4700	100	\$1.47			-\$147.00
02/18/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...100 (AEZS) @1.4450	100	\$1.445			-\$144.50
02/18/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT					\$905.06
02/17/2021	Sell Trade Details	CTXR TDA TRAN - Sold ...250 (CTXR) @1.5400	250	\$1.54	\$0.04		\$384.96
02/17/2021	Sell Trade Details	66987P201 TDA TRAN - Sold ...500 (NBY)	500	\$1.22	\$0.07		\$609.93

@1.2200

02/17/2021	Buy Trade Details	CTXR TDA TRAN - Bought ...300 (CTXR) @1.4300	300	\$1.43		-\$429.00
02/17/2021	Buy Trade Details	007975402 TDA TRAN - Bought ...400 (AEZS) @1.5800	400	\$1.58		-\$632.00
02/16/2021	Sell Trade Details	G2287A100 TDA TRAN - Sold ...500 (CSCW) @1.3700	500	\$1.37	\$0.08	\$684.92
02/16/2021	Buy Trade Details	66987P201 TDA TRAN - Bought ...500 (NBY) @1.1700	500	\$1.17		-\$585.00
02/16/2021	Buy Trade Details	G2287A100 TDA TRAN - Bought ...200 (CSCW) @1.2300	200	\$1.23		-\$246.00
02/16/2021	Buy Trade Details	G2287A100 TDA TRAN - Bought ...300 (CSCW) @1.2399	300	\$1.2399		-\$371.97
02/16/2021	Sell Trade Details	09073Q105 TDA TRAN - Sold ...251 (BVXV) @5.6700	251	\$5.67	\$0.06	\$1,423.11
02/16/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES PURCHASE (MMDA1) BANK SWEEP DEPOSIT				\$810.95
02/12/2021	Joumaled Shares	TDA TRAN - CASH ALTERNATIVES REDEMPTION (MMDA1) BANK SWEEP WITHDRAWAL				-\$803.95
02/11/2021	Buy Trade Details	09073Q105 TDA TRAN - Bought 8 (BVXV) @5.1199	8	\$5.1199		-\$40.96
02/11/2021	Sell Trade Details	TLGTQ TDA TRAN - Sold ...600 (TLGT) @1.4200	600	\$1.42	\$0.09	\$851.91